

## ANNEX

### to the Commission Implementing Decision adopting an Annual Action Programme for Turkey for the year 2018

<b>Annual Work Programme</b> <b>This document constitutes the annual work programme in the sense of Article 110(2) of the Regulation (EU, Euratom) 2018/1046</b>
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#### 1 IDENTIFICATION

<b>Beneficiary</b>	Turkey
<b>Basic act:</b> <b>CRIS/ABAC Commitment references and budget line:</b>  <b>Total cost:</b> <b>EU Contribution:</b>	Instrument for Pre-accession Assistance (IPA II) 2018/041-236 EUR 85 000 000 from 22.02 03 01 2018/041-640 EUR 13 400 000 from 22.02 03 02  EUR 172 111 764 EUR 98 400 000
<b>Method of implementation</b>	Indirect management with Turkey: The Operating Structure responsible for the execution of the actions is the Central Finance and Contracts Unit (CFCU).  Direct management by the European Commission.
<b>Final date for concluding Financing Agreement with the IPA II beneficiary</b>	At the latest by 31 December 2019
<b>Final date for contracting, including the conclusion of contribution/delegation agreements</b>	3 years following the date of conclusion of the Financing Agreement
<b>Indicative operational implementation period</b>	6 years following the date of conclusion of the Financing Agreement
<b>Final date for implementing the Financing Agreement</b> (date by which this programme should be de-committed and closed)	12 years following the conclusion of the Financing Agreement

## 2 DESCRIPTION OF THE ACTION PROGRAMME

### 2.1 SECTORS SELECTED UNDER THIS ACTION PROGRAMME

- Rationale for the selection of the specific sectors under this programme:

With the aim to concentrate IPA II financial assistance for Turkey on key priorities, the proposed annual action programme covers three sectors identified in the revised Indicative Strategy Paper for 2014-2020: Democracy and governance; Rule of law and fundamental rights; Environment, climate action and energy.

**Democracy and governance** includes a stand-alone action to continue support for the *Jean Monnet Scholarship Programme (JMSP)*, which aims to develop Turkey's human resources in EU acquis by granting scholarships for related academic studies in EU Member States and support improvement towards an efficient, responsible and accountable public administration. Moreover, the programme includes an action to co-finance *Turkey's participation in Union programmes and agencies* for areas such as education, training, youth and sports.

The Commission 2018 Report on Turkey<sup>1</sup> recorded that the capacity of Turkey to ensure an effective domestic legal remedy in the sense of the European Court of Human Rights (ECtHR) has been further undermined by a number of unfortunate precedents and key recommendations of the Council of Europe (CoE) and its bodies are yet to be addressed by Turkey. Reforms in the field of rule of law and fundamental rights remain strategic priorities for the EU cooperation with Turkey with a view to reverse the backsliding over the recent period.

The full respect of the **Rule of law and of fundamental rights** and freedoms are crucial for EU assistance. Revised Indicative Strategy Paper for Turkey recognised the need to prioritise the prevention of violations of the European Convention on Human Rights (ECHR) under the State of Emergency which curtailed key fundamental rights (pre-trial detention, removal of crucial safeguards that protect detainees) and for further legislative changes in line with the ECtHR case law. Under the rule of law and fundamental rights sector, support will be given to the Implementation of the Action Plan on Prevention of Violations of European Convention on Human Rights.

The need to increase Turkey's institutional capacity to fight the crime of migrant smuggling and trafficking in human beings and the need to continue to bring these in line with European standards and international best practices has been recognised by the revised Indicative Strategy Paper for Turkey. Under the rule of law and fundamental rights sector (**sub-sector Home Affairs**), an activity has been designed to strengthen the anti-trafficking response and victim protection mechanisms in Turkey by building the capacities, coordination mechanisms and partnerships amongst government and non-governmental actors and in order to increase the efficiency of the services supplied in shelter.

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<sup>1</sup> The report is available at the following link: <https://ec.europa.eu/neighbourhood-enlargement/sites/near/files/20180417-turkey-report.pdf>

As regards **Environment, climate action and energy**, activities under energy sector will be supported as Turkey has one of the fastest growing demands for energy in Europe. It needs to develop domestic energy supplies, including renewable energy, and increase energy efficiency. With this in mind, the action will support activities to increase awareness of public utilities and households for energy efficiency and renewable energy investments and the level of trust and market depth in natural gas market; and enhance effectiveness of electricity grid operations.

- Overview of past and on-going EU, other donors' and/or IPA II beneficiary's actions in the relevant sectors:

Through the IPA pre-accession programme the EU is the main donor of financial assistance, as relatively few international donors provide grant support to Turkey.

Under IPA 2007-2013 IPA, Turkey has been implementing pre-accession assistance under indirect management, i.e. EU budget implementation tasks have been delegated to the Turkish authorities, with institutions set up specifically for this purpose. The total of this previous IPA assistance amounts to EUR 5.3 billion. Support for institution building – which is also at the core of this action programme – has been mainly provided through annual programming with projects focusing particularly on justice, home affairs and fundamental rights and supporting alignment with the EU acquis in various fields. Under IPA II similar priorities have been agreed under IPA 2014, 2015, 2016 and 2017 annual action programmes while moving towards an integrated sector approach with a multi-annual planning logic.

Capacity limitations persist for the absorption of funds as reflected in implementation delays and a large contracting backlog for previously programmed actions. Consequently, besides assessments of relevance, particular attention has been given to the maturity of proposals under this programme as well as to the sequencing of interventions. Still, greater ownership by the lead institution for each sector and stronger cooperation among stakeholders will be required in order to improve efficiency and effectiveness.

Relatively few international donors provides grant support to Turkey. The Council of Europe and UN Agencies (e.g. United Nations Development Programme – UNDP, International Organization for Migration – IOM, United Nations Population Fund – UNFPA, United Nations Children's Fund – UNICEF, UN Refugee Agency – UNHCR) are the international organisations who have traditionally provided substantial support, together with relevant Turkish and international non-governmental organisations (NGOs) working on human rights, civil society development and other right-based areas, such as Union of Bar Associations, bar associations, trade unions and NGOs (Gender Equality Monitoring Association – CEID, International Centre for Migration Policy Development – ICMPD, the Joint Platform for Human Rights – IHOP, Civil Society Development Center – STGM, etc.). The Council of Europe has also been implementing various EU funded projects and programmes especially in the judicial area, supporting Turkey in fulfilling the Council of Europe standards in the field of human rights, rule of law, and democracy.

In order to avoid overlapping assistance, the Commission systematically consults with Member States' embassies, International financing institutions (IFIs) and International Organisations (IOs) with the aim of improving donor coordination at sector level. The EU Delegation (EUD) has organised meetings with Member States on a quarterly basis. The Delegation presents the draft IPA programmes to representatives of Member States embassies on a yearly basis and organises additional ad-hoc information sessions at sector level. These meetings include exchanges about complementary of bilateral funding from Member States, even though bilateral grant financing is very limited compared with the IPA allocations. In fields of joint interest, technical level meetings are organised.

**List of Actions foreseen under the selected Sectors/Priorities:**

Sector/Priority/Action	Direct management	Indirect management	
		With entrusted entity	With IPA II beneficiary
Democracy and governance			
Participation in Union Programmes			EUR 63 000 000
Civil Society (JMSP)			EUR 12 000 000
TOTAL			EUR 75 000 000
Rule of law and fundamental rights			
Fundamental Rights	EUR 50 000		EUR 4 950 000
Home Affairs	EUR 50 000		EUR 4 950 000
TOTAL	EUR 100 000		EUR 9 900 000
Environment, climate action and energy			
Energy			EUR 13 400 000
TOTAL			EUR 13 400 000

## 2.2 DESCRIPTION AND IMPLEMENTATION OF THE ACTIONS

The envisaged assistance is deemed to follow the conditions and procedures set out by the restrictive measures adopted pursuant to Article 215 TFEU<sup>2</sup>.

<b>SECTOR</b>	<b>Democracy and governance</b>	<b>EUR 75 000 000</b>
<b>Action 1</b>	<b>Participation in Union Programmes</b>	<b>EUR 63 000 000</b>

### (1) Description of the Action, objectives, expected results and key performance indicators

- Description of the action and objectives

The action's objective is to ensure participation of Turkey in Union programmes and agencies by co-financing the costs of the entry-tickets / participation fees to be paid in areas such as education, training, youth and sports.

- Expected results and key performance indicators

Expected results are:

- Enhanced participation of Turkey in Erasmus+ Programme, including increased exchanges with EU Member States.
- Strengthened capacity, ownership and responsibility of Turkey (including in financial terms) for participation in Erasmus+ Programme.
- Strengthened Turkey-EU citizens' involvement in European integration project in complementarity with institutional and political cooperation.

Key performance indicators are:

- The number of applications/projects submitted to Calls.
- Number of successful projects.
- The total amount of grants allocated to the projects.
- The sex-disaggregated number of people participating in the projects.
- Erasmus+: Number of participants in the mobility programmes (Final target (2020) 450.000 in total).

### (2) Assumptions and conditions

The participation of the IPA II beneficiary in Union programmes, including payment by the country of the entry ticket / participation fees, shall follow the specific terms and conditions set out for each programme in the relevant International Agreement.

Failure to comply with the requirements set out above may lead to a recovery of funds under this programme and/or the re-allocation of future funding.

### (3) Implementation modalities:

<sup>2</sup> [https://eeas.europa.eu/headquarters/headquarters-homepage/8442/consolidated-list-sanctions\\_en](https://eeas.europa.eu/headquarters/headquarters-homepage/8442/consolidated-list-sanctions_en)

### **(3)(a) Indirect management**

This action will be implemented under indirect management by Turkey, which shall be responsible for carrying out all the tasks relating to the implementation of the action.

Implementation will consist in the payment of the IPA part of the financial contribution to the programme Erasmus+ by the National Fund.

### **(4) Scope of geographical eligibility for procurement and grants**

The geographical eligibility in terms of place of establishment for participating in procurement and grant award procedures and in terms of origin of supplies purchased as established in the basic act and set out in the relevant contractual documents shall apply.

<b>Action 2</b>	<b>Civil Society (Jean Monnet Scholarship Programme)</b>	<b>EUR 12 000 000</b>
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### **(1) Description of the Action, objectives, expected results and key performance indicators**

- Description of the action and objectives

The objectives of this action are:

- To enhance human resources capacity in fields relevant to the EU Acquis.
- To enhance inter-personal and inter-cultural dialogue via mobility.
- To improve educational attainment via investing in people.

- Expected results and key performance indicators

Expected results of this action are:

- Information about the JMSP ensured for all potential candidates.
- Training institutions relevant to both the needs of the scholars and the programme objectives identified.
- Scholarship awardees selected after a transparent and fair selection process.
- At least 320 scholarships granted and all awardees placed at their respective training institutions.
- Scholarship holders completed their programmes successfully.
- Scholars' trajectory upon completion of academic studies has led them to the EU Acquis/policy related fields.
- Jean Monnet alumni network strengthened.

Key Performance Indicators:

- Number of applications to the JMSP and success rate (sex disaggregated)
- Number of followers on social media accounts
- Number of academic programmes in the “Hosting Institutions Catalogue”

- Distribution of scholars by profile (e.g. public/private sector employee, student)
- Percentage of scholarships granted to women and of women applicants
- Percentage of the scholars completing their academic studies successfully (by sex)
- Evidence of scholars' trajectory upon completion of academic studies
- Number of dissertations/academic studies displayed at the Directorate for EU Affairs (DEUA)'s library.
- Number of ex-scholars attended the events to be organized for the Jean Monnet Scholarship holders and alumni

## **(2) Assumptions and conditions**

The implementation of the action requires continued commitment by Turkish Authorities, including the increased number of staff working on the JMSP both in the DEUA and the CFCU.

Failure to comply with the requirements set out above may lead to a recovery of funds under this programme and/or the re-allocation of future funding.

## **(3) Implementation modalities:**

### **(3)(a) Indirect management**

This action will be implemented under indirect management by Turkey, which shall be responsible for carrying out all the tasks relating to the implementation of the action.

The Operating Structure responsible for the execution of the actions is the CFCU.

The Directorate for EU Affairs (DEUA), under the Ministry of Foreign Affairs (MFA), will coordinate the implementation of the Action, ensuring the involvement and participation of all concerned stakeholders. A Steering Committee will be formed composed of representatives from the DEUA, EUD and CFCU. In case of need, other relevant stakeholders can also be invited to the Steering Committee.

The DEUA will implement the technical aspects of the scholarship programme. Apart from these technical aspects, the DEUA will need technical assistance in support of promotion, visibility, networking, organizational/logistical and evaluation aspects of the scholarship implementation. The Technical Assistance (TA) Team is needed to assist the DEUA for the visibility issues, promotion, the organization and logistics of the selection and evaluation processes and contracting process of the scholarship programme. The Implementing Agency for all activities under this Action will be the CFCU that will be responsible for all procedural aspects of the tendering process, contracting matters and financial management (including payments) of the activities.

## **(4) Scope of geographical eligibility for procurement and grants**

The geographical eligibility in terms of place of establishment for participating in procurement and grant award procedures and in terms of origin of supplies purchased as established in the basic act and set out in the relevant contractual documents shall apply.

<b>SECTOR</b>	<b>Rule of law and fundamental rights</b>	<b>EUR 10 000 000</b>
<b>Action 3</b>	<b>Fundamental Rights</b>	<b>EUR 5 000 000</b>

**(1) Description of the Action, objectives, expected results and key performance indicators**

- Description of the action and objectives

The objectives of this action are:

- Contribution to protection of human rights and freedoms in a more effective way so as to eliminate the reasons leading to violation of rights safeguarded by the European Convention of Human Rights and to reduce the number of violations in Turkey.
- Improving the efficiency and effectiveness of the implementation of the Action Plan on Prevention of Violations of the European Convention on Human Rights focusing on elimination of systemic lacunas leading to ECHR violations
- Expected results and key performance indicators.

Expected results are:

- The Action Plan implementation is efficient and effective.
- Strengthened institutional capacity of the Ministry of Justice - Department of Human Rights and relevant institutions in the monitoring and implementation of the Action Plan.
- Increased cooperation among relevant stakeholders and increased awareness on the Action Plan, its priorities and targeted rights.

Key performance indicators are:

- Positive assessment of the efficiency and effectiveness of the implementation of the Action Plan by relevant stakeholders (national and international).
- Significant progress made in fulfilling the objectives of the plan and implementing its activities.
- Increased number of closed lacunas leading to the violations of the ECHR
- Number of recommended legislative or regulatory amendments in line with the European and international norms and practices prepared and adopted under the Action Plan measures.
- Needs assessment report with recommendations is provided.
- Number of support activities provided to the relevant stakeholders (in monitoring, implementation, communication, awareness raising, data collection and processing).
- Positive assessment of the institutional support activities by the beneficiaries and stakeholders.



- Data collection and processing methods improved.
- Number of awareness raising events conducted under the project.
- Number of cooperation building activities under the project.
- Increased knowledge on the Action Plan, its priorities and targeted rights.

## **(2) Assumptions and conditions**

The assumptions are as follows:

- Full commitment of relevant institutions to the Action Plan aims and active participation in its implementation.
- Commitment of relevant stakeholders to the Action's objectives.
- Full commitment of beneficiary institutions and relevant stakeholders to the project's objectives.
- Availability of experts.
- High quality of outputs.
- Full commitment of beneficiary institutions and relevant stakeholders to the project's objectives.
- Full commitment to good cooperation with involved stakeholders.

## **Conditions for implementation**

The implementation of the action will require the prior adoption of an updated Action Plan on Prevention of ECHR Violations, or similar strategic document. This document is to be based on the analysis of the current action plan implementation and the assessment of the latest state of play. It is expected that the development of the new action plan will be done in participatory manner.

Turkish authorities should be committed to build a strong cooperation between all the relevant stakeholders (State institutions, international partners and civil society) in order to effectively implement the Action Plan.

Failure to comply with the requirements set out above may lead to a recovery of funds under this programme and/or the re-allocation of future funding.

## **(3) Implementation modalities:**

### **(3)(a) Indirect management**

This action will be implemented under indirect management by Turkey, which shall be responsible for carrying out all the tasks relating to the implementation of the action.

The Operating Structure responsible for the execution of the actions is the CFCU. **(3)(b) Direct management**

The evaluation of the action will be implemented under direct management by the EUD contracting an independent consultant.

#### **(4) Scope of geographical eligibility for procurement and grants**

The geographical eligibility in terms of place of establishment for participating in procurement and grant award procedures and in terms of origin of supplies purchased as established in the basic act and set out in the relevant contractual documents shall apply.

<b>Action 4</b>	<b>Home Affairs</b>	<b>EUR 5 000 000</b>
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#### **(1) Description of the Action, objectives, expected results and key performance indicators**

- Description of the action and objectives

The overall objective of the action is to enhance access to protection services of victims of trafficking of human beings in Turkey.

The specific objective is to strengthen the anti-trafficking response of key stakeholders (including, but not limited to Ministry of Interior (MoI), law enforcement agencies, civil society) and the victim protection mechanisms in Turkey.

The action will be implemented with one activity, namely "Protecting Victims of Human Trafficking Phase II".

- Expected results and key performance indicators

Expected results are:

- A draft Third National Action Plan is prepared in line with the CoE Convention, strengthening national counter-trafficking structures.
- The technical capacity of NGOs to directly assist a greater number of identified and potential victims of trafficking is increased.
- Capacity to develop, coordinate and implement effective trafficking prevention strategies and victim assistance at the national and local level has increased.
- Capacity of services to appropriately assist victims of trafficking in human beings, taking into account their gender, age, nationality and the consequences of the form of exploitation they have been subjected to, has increased.
- Awareness is raised among particularly vulnerable individuals and the public on how to recognize trafficking in human being indicators and seek assistance.
- Safe accommodation, necessary living conditions and adequate services for victims of human trafficking in one pilot shelter are ensured.

Key performance indicators are:

- The third draft National Action Plan on Human Trafficking is drafted in consultation with key stakeholders, including civil society, taking into account the gender specificity of the crime, with women and girls disproportionately targeted.

- Increased number of NGOs able to refer and assist Victims of Trafficking (VoTs).
- Increased number of detection of Trafficking in Human Beings (THB) victims by NGOs supported by this action.
- Number of detection of THB victims by public stakeholders supported by this action.
- Number of victims receiving assistance (e.g. AVR), disaggregated by gender, age, nationality and form of exploitation.
- Number of public and private sector workers on human trafficking informed through the awareness raising activities and number of vulnerable persons informed concerning human trafficking.
- Number of pilot shelter run by Directorate General of Migration Management (DGMM) refurbished and maintained.
- Number of victims (disaggregated by gender, age, nationality and form of exploitation) receiving adequate services in the one pilot shelter run by DGMM supported by this Action.

## **(2) Assumptions and conditions**

Conditionality specific for the project:

- The implementation of the action will require the prior adoption of a country-wide strategy, or similar document, to effectively coordinate with NGOs to combat human trafficking and protect VoTs in Turkey. It is expected that the development of this document will be done in a participatory manner.
- Procedures for the maintenance and refurbishment for the shelter to be run by DGMM can only start after a suitable location is secured and a human resource plan is developed for the running of the shelter.

The project will be implemented through a complementing set of activity clusters as well as consultations with local and/or central stakeholders, which are a **precondition for the activities' sustainability**. In this context, this initiative ensures the collaboration and coordination with partners, in particular civil society, who are dedicated to and focused on the action, which guarantees participation and ownership, as well as sustainability and pertinence.

The project implementation methodology also envisages active participation of governmental institutions in monitoring of the project activities, to ensure project relevance in accordance with institutional priorities.

A **prerequisite** for an efficient impact of activities is that:

- targeted institutions remain dedicated to their plan of action,
- the institutions will continue to offer guidelines and equip their staff with knowledge on the relevant legislation;
- there will be transfer of knowledge even after the project ends;
- the trained staff remains with the institutions;
- institutions remain dedicated to the harmonization of the legislation with EU and international standards, especially in the area of human trafficking and migrant smuggling.

Considering that there are remedies to the above (such as the transfer of knowledge intra-institutionally as well as international obligations which the country must meet), the assumptions do not pose an evident or substantive threat to the sustainability of the action.

Failure to comply with the requirements set out above may lead to a recovery of funds under this programme and/or the re-allocation of future funding.

### **(3) Implementation modalities:**

#### **(3)(a) Indirect management**

This action will be implemented in indirect management by Turkey, which shall be responsible for carrying out all the tasks relating to the implementation of the action.

The Operating Structure(s) responsible for the execution of the actions is the CFCU.

#### **(3)(b) Direct management**

The evaluation of the action will be implemented under direct management by the EUD contracting an independent consultant.

### **(4) Scope of geographical eligibility for procurement and grants**

The geographical eligibility in terms of place of establishment for participating in procurement and grant award procedures and in terms of origin of supplies purchased as established in the basic act and set out in the relevant contractual documents shall apply.

<b>SECTOR</b>	<b>Environment, climate action and energy</b>	<b>EUR 13 400 000</b>
<b>Action 5</b>	<b>Energy</b>	<b>EUR 13 400 000</b>

### **(1) Description of the Action, objectives, expected results and key performance indicators**

- Description of the action and objectives

The objective of this action is to promote energy efficiency and renewable energy in line with the EU's resource efficiency and climate action targets and to improve Turkey's integration with European electricity and gas markets.

The specific objective of the action is to increase utilization of low-carbon energy technologies by public utilities, awareness of households on energy efficiency and the level of trust and market depth in natural gas market; and enhance effectiveness of electricity grid operations.

- Expected results and key performance indicators

Expected results are:

- Utilization of efficient and low-carbon energy consumption/production technologies by municipalities, their subsidiaries and municipal service providers, Petroleum Pipeline Corporation (BOTAS) and General Directorate of Turkish Electromechanical Industry (TEMSAN) is increased and pilot implementation in their operations/buildings is supported
- Ministry of Energy and Natural Resources (MENR)'s capacity in implementing and measuring the impact of energy efficiency awareness raising activities and strategies towards households is enhanced;
- Turkish Electricity Transmission Company (TEIAS)'s planning-related activities such as R&D implementations, transmission and generation planning are improved;
- Energy Market Regulatory Authority (EMRA)'s capacity to implement the Legislation on Transparency and Competition in Turkish Natural Gas Market in line with Energy Market Integrity and Transparency (REMIT) and the legislation on natural gas market transactions and to monitor natural gas market transparency and transactions are developed

Key performance indicators are:

- Installed capacity (MW) of renewable energy generation plants in municipalities, their subsidiaries and municipal service providers.
- Operational solar PV installed capacity (MW) at BOTAS facilities;
- Operational solar PV installed capacity (MW) at TEMSAN facilities;
- Percentage of increase in sales of efficient household appliances
- Level of preparation of a Master Plan for TEIAS;
- Ratio of the published information market players need to access with respect to the data published on the REMIT portal.

## **(2) Assumptions and conditions**

Particularly for solar PV projects and generally for renewable energy investments, beneficiaries have to hire external consultants to prepare the technical projects to be submitted to Turkey Electricity Distribution AS (TEDAS) for unlicensed generation and connection permits. Therefore, under 2018 Action, MENR will ensure in cooperation with the Union of Municipalities of Turkey (UMT) that solar PV/renewable energy pilot equipment supply projects' beneficiaries will complete permit procedures before preparing the procurement documents to avoid delays and risks stemming from bureaucratic permit procedures.

Where resources are granted through the budget of Turkey, or where Turkey contributes to the selection of the specific interventions to be supported, State aid control obligations deriving from bilateral agreements with the EU shall be complied with.

Failure to comply with the requirements set out above may lead to a recovery of funds under this programme and/or the re-allocation of future funding.

**(3) Implementation modalities:**

**(3)(a) Indirect management**

This action will be implemented in indirect management by Turkey, which shall be responsible for carrying out all the tasks relating to the implementation of the action.

The Operating Structure responsible for the execution of the actions is the CFCU.

**(4) Scope of geographical eligibility for procurement and grants**

The geographical eligibility in terms of place of establishment for participating in procurement and grant award procedures and in terms of origin of supplies purchased as established in the basic act and set out in the relevant contractual documents shall apply.

**3 BUDGET**

### 3.1 INDICATIVE BUDGET TABLE - ANNUAL ACTION PROGRAMME FOR TURKEY

		Indirect management		Direct management	Total EU contribution (EUR)	IPA-II beneficiary or other third party contribution (EUR)	Total (EUR)
		with IPA-II beneficiary	with entrusted entity				
		EU contribution (EUR)	EU contribution (EUR)	EU contribution (EUR)			
<b>Objective 1</b> 2018/041-236	<b>Democracy and Governance</b>	<b>75 000 000</b>			<b>75 000 000</b>	<b>72 000 000</b>	<b>147 000 000</b>
	Action 1 Participation in Union Programmes	<b>63 000 000</b>			<b>63 000 000</b>	<b>72 000 000</b>	<b>135 000 000</b>
	Action 2 Civil Society (JMSP)	<b>12 000 000</b>			<b>12 000 000</b>		<b>12 000 000</b>
	<b>Rule of Law and Fundamental Rights</b>	<b>9 900 000</b>			<b>10 000 000</b>		<b>10 000 000</b>
	Action 3 Fundamental Rights	<b>4 950 000</b>		<b>50 000*</b>	<b>5 000 000</b>		<b>4 950 000</b>
	Action 4 Home Affairs	<b>4 950 000</b>		<b>50 000*</b>	<b>5 000 000</b>		<b>4 950 000</b>
<b>Objective 2</b> 2018/041-640	<b>Environment, Climate Action and Energy</b>	<b>13 400 000</b>			<b>13 400 000</b>	<b>1 711 764</b>	<b>15 111 764</b>
	Action 5 Energy	<b>13 400 000**</b>			<b>13 400 000</b>	<b>1 711 764</b>	<b>15 111 764</b>
	<b>TOTAL</b>	<b>98 400 000</b>			<b>98 400 000</b>	<b>73 711 764</b>	<b>172 111 764</b>

\*BUDGET FOR EVALUATION

\*\*INCLUDING EUR 268 000 BUDGET FOR EVALUATION

## **4 PERFORMANCE MONITORING ARRANGEMENTS**

As part of its performance measurement framework, the Commission shall monitor and assess progress towards achievement of the specific objectives set out in the IPA II Regulation on the basis of pre-defined, clear, transparent measurable indicators. The progress reports referred to in Article 4 of the IPA II Regulation shall be taken as a point of reference in the assessment of the results of IPA II assistance.

The Commission will collect performance data (process, output and outcome indicators) from all sources, which will be aggregated and analysed in terms of tracking the progress versus the targets and milestones established for each of the actions of this programme, as well as the Indicative Strategy Paper.

In the specific context of indirect management by IPA II beneficiaries, National IPA Co-ordinators (NIPACs) will collect information on the performance of the actions and programmes (process, output and outcome indicators) and coordinate the collection and production of indicators coming from national sources.

The overall progress will be monitored through the following means: a) Result Orientated Monitoring (ROM) system; b) IPA II Beneficiaries' own monitoring; c) self-monitoring performed by the EU Delegations; d) joint monitoring by the European Commission (DG NEAR) and the IPA II Beneficiaries, whereby the compliance, coherence, effectiveness, efficiency and coordination in implementation of financial assistance will be regularly monitored by an IPA II Monitoring committee, supported by Sectoral Monitoring committees, which will ensure a monitoring process at sector level.

## **5 EVALUATION**

Having regard to the importance of the Actions, mid-term/final/ex-post evaluations will be carried out under the Actions of this Programme via independent consultants or through a joint mission, contracted by the Commission or via an implementing partner.

For the Actions on Fundamental Rights and Home Affairs, the European Commission will carry out a mid-term, or a final or an ex-post evaluation under direct management.

The evaluations will be carried out in line with provisions of the Framework Agreement between Turkey and the European Commission and in accordance with DG NEAR guidelines on linking planning/programming, monitoring and evaluation<sup>3</sup>.

For Jean Monnet Scholarship Programme, IPA II beneficiary shall be responsible for conducting evaluations of the programme using funds envisaged for the Technical Assistance.

Where an evaluation is foreseen and is to be contracted by the Commission, it shall inform the implementing partner at least two months in advance of the dates foreseen for the evaluation missions. The implementing partner shall collaborate efficiently and effectively with the evaluation experts, and inter alia provide them with all necessary information and documentation, as well as access to the project premises and activities.

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<sup>3</sup> [https://ec.europa.eu/neighbourhood-enlargement/sites/near/files/near\\_guidelines.zip](https://ec.europa.eu/neighbourhood-enlargement/sites/near/files/near_guidelines.zip).



The evaluation reports shall be shared with the IPA II beneficiary and other key stakeholders. The implementing partner and the Commission shall analyse the conclusions and recommendations of the evaluations and, where appropriate, in agreement with the IPA II beneficiary, jointly decide on the follow-up actions to be taken and any adjustments necessary, including, if indicated, the reorientation of the project.